Design of Wideband 8×8 Butler Matrix using Composite Right/Left-handed Transmission Line for Multi-mode OAM Generation

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Abstract – In this paper, a wideband Butler matrix for the uniform circular array antenna (UCA) generating multimode orbital angular momentum (OAM) vortex wave is designed. Firstly, the novel network topology of a Butler matrix is proposed. For the purpose of design and optimization convenience, the 8×8 Butler matrix is separated into two different sub 4×4 Butler matrix modules and one connection-output module. Then several wideband microwave components used in a Butler matrix, such as 3 dB directional coupler and stable phase shifter with composite right/left-handed (CRLH) transmission line, are designed. To demonstrate the effectiveness of the design process, a Butler matrix working in 5-7 GHz is designed and fabricated. It is found that the simulation results are in good agreement with the measured data. The constant amplitude distribution and progressive phase differences of $\pm 45^{\circ}$, $\pm 90^{\circ}$ between the output ports are observed, hence the ± 1 , ± 2 mode OAM waves can be generated by the proposed Butler matrix.

Index Terms – Butler matrix, CRLH transmission line, multi-mode OAM, wideband.

I. INTRODUCTION

Expected in the 2030s, 6-generation (6G) communication planning has begun. Compared with 5G, higher capacity, higher data rates, and lower latency are required by the 6G communication system. A possible way to increase data rate of wireless communication is to use orbital angular momentum (OAM) vortex waves instead of traditional plane waves [\[1–](#page-10-0)[4\]](#page-10-1). This spatial reuse of different OAM modes could improve greatly the channel capacity [\[5](#page-10-2)[–7\]](#page-11-0).

Compared with other methods of generating OAM waves [\[8](#page-11-1)[–12\]](#page-11-2), uniform circular array antenna (UCA) support the generation of a variety of OAM modes [\[13–](#page-11-3) [15\]](#page-11-4), and its feed status can be adjusted to generate OAM of various modes flexibly. UCA can be fed by beamforming network (BFN) which have economical and efficient advantages to generate OAM waves with connection to the UCA element. BFNs such as a Butler matrix [\[16](#page-11-5)[–17\]](#page-11-6) are usually composed of coupler, crossover, and phase shifter. In order to obtain a high quality OAM wave, the design of the microwave components of a Butler matrix is import and difficult to realize in wideband.

With a conventional Butler matrix with one layer transmission line it is difficult to realize a stable phase shift for wideband application [\[18\]](#page-11-7). In order to realize wideband performance, a multi-layer coupling structure is discussed [\[19,](#page-11-8) [20\]](#page-11-9). For the design of the phase shifter, a composite right/left-handed (CRLH) transmission line [\[21,](#page-11-10) [22\]](#page-11-11) is applied to the phase shifter to obtain larger phase shift values with necessary compact structure. To simplify the topology of a Butler matrix, fewer phase shifters are desired for generating necessary OAM modes. A simplified Butler matrix topology is necessary but relevant research is rare.

In this paper, a wideband 8×8 Butler matrix for generating $\pm 1/\pm 2$ modes OAM waves is designed. For some key microwave components, 3 dB directional couplers and phase shifters with the phase value of -45° , 0°, and 90° are designed by wideband structure with the CRLH transmission line in order to realize stable phase shift.

II. TOPOLOGY OF A BUTLER MATRIX

For OAM application, the UCA element is fed by BFN with equal amplitude and progressive phase differ-ence [\[23\]](#page-11-12). In order to generate an OAM beam of $\pm 1/\pm 2$ mode by feeding eight-element antenna array, an equal amplitude distribution with phase differences of $\pm 45^\circ$ and ±90◦ are required.

Firstly, the sub Butler matrix module 1 and 2 is proposed to realize consistent phase difference between the four output ports. Then, the sub Butler matrix modules are connected by connection-output modules to obtain consistent phase difference of eight outputs. The sub

Butler matrix module 1 based on $0^{\circ}/-45^{\circ}$ phase shifter and sub Butler matrix module 2 based on 90◦ phase shifter are designed, as shown in Fig. [1.](#page-1-0)

Fig. 1. Topology of sub Butler matrix module: (a) sub Butler matrix module 1 and (b) sub Butler matrix module 2.

As shown in Fig. [1,](#page-1-0) port #1-8 on the left side are input ports and port #1'-8' on the right side are output ports. The sub Butler matrix modules 1 and 2 can realize equal phase differences output of -45° , 45° , 90° , and -90° by the excitation of port 1, 4, 5, and 8, respectively. The connection-output module is shown in Fig. [2.](#page-1-1)

Fig. 2. Connection-output module.

As shown in Fig. [2,](#page-1-1) four outputs of a sub Butler matrix module are connected to the input of a connection-output module in sequence to obtain eight outputs which have 180◦ phase difference between those two outputs, ports G' and H'. The entire 8×8 Butler matrix network is shown in Fig. [3.](#page-1-2)

Fig. 3. Topology of an 8×8 Butler matrix.

As shown in Fig. [3,](#page-1-2) the input ports are located on the outside and the transmission lines of the output ports do not overlap with each other. In this design, only 0°/−45°/90° phase shifters are needed, so the design complexity of the wideband phase shifter is reduced. For sub module 1 (Fig. [3](#page-1-2) zone I), the -45° phase shifter and cross coupler are used to realize -45° , 45 $^\circ$ output phase differences by excitation of ports 1 and 4, respectively. For sub module 2 (Fig. [3](#page-1-2) zone II), the 90◦ phase shifter and cross coupler are used to realize 90° , -90° output phase differences by excitation of ports 5 and 8, respectively. In order to generate +1 mode OAM, the excitation of port 4 could realize 45◦ phase difference distributions of -90° , -45° , 0° and 45° . The phase distributions of -90° , -45° , -0° , 45° , 90° , 135° , 180° , and 225° can be generated by the connection-output module (Fig. [1](#page-1-0) zone III) because of the 180◦ phase difference between the two output ports of the connection-output module. The phase distribution of -1 mode OAM can be realized by the excitation of port 1, and the excitation of ports 5 and 8 can realize the phase distribution of −2 mode and +2 mode, respectively.

The detailed phase distribution of the Butler matrix is listed in the Table [1](#page-1-3) for ± 1 , ± 2 mode with phase difference of -45° , $+45^\circ$, -90° , and $+90^\circ$. The phase of each input port is zero, which satisfies the condition of unequal phase differences with different excitations of input ports. Compared with the conventional 8×8 Butler matrix exciting eight OAM modes, the proposed Butler matrix could provide the necessary modes with fewer phase shifters.

Table 1: Phase distribution of 8×8 Butler matrix

Mode		-1	1	2	-2
Input Port		1	4	5	8
Output Port	P ₉	45	-90	90	-180
	P ₁₀	0	-45	0	-90
	P11	-45	0	-90	0
	P ₁₂	-90	45	$-180\,$	90
	P ₁₃	-135	90	-270	180
	P ₁₄	-180	135	θ	270
	P15	225	180	-90	0
	P16	-270	225	180	90

III. DESIGN OF WIDEBAND COMPONENT

The performance of each component using an 8×8 Butler matrix would affect the wideband stability of the whole network significantly. So, the accurate design and balance optimization of 3 dB directional coupler and −45◦ /0◦ /90◦ phase shifter are necessary. Firstly, a wideband 3 dB coupler is designed. Then, a -45° phase shifter is obtained by adding a rectangular patch into the 3 dB coupler structure. Also, a transverse slot line is introduced into the rectangular patch to realize a 0° phase shifter. Finally, two 45◦ phase shifters based on CRLH transmission line are applied to the $0°$ phase shifter to realize a 90◦ phase shifter.

A. 3 dB directional coupler and $-45^{\circ}/0^{\circ}$ phase shifter

The 3 dB directional coupler is designed, as shown in Fig. [4.](#page-2-0)

Fig. 4. 3 dB directional coupler (s1 = 4.78, s2 = 9.5, w0 $= 1.61$, $s3 = 1.66$, $11 = 3.8$, $12 = 8.75$ unit $=$ mm).

As shown in Fig. [4,](#page-2-0) the 3 dB directional coupler consists of two pieces of substrate (h = 0.6 mm, ε_r =2.6). The top metal layer includes ports B and A'. The bottom metal layer is similar to the top layer, but the ports here are ports A and B'. The two coupling patches and the slot are given a diamond shape in order to avoid the discontinuity of the structure and reduce edge capacitance. The impedance of input and output ports is 50 Ω to connect with RF lines. The simulation results are shown in Fig. [5.](#page-2-1)

As shown in Fig. [5,](#page-2-1) the simulation results show that the amplitude balance is 3.25 ± 0.15 dB and the phase balance is $90^{\circ} \pm 0.5^{\circ}$ in the operating frequency

Fig. 5. Simulation results of 3 dB directional coupler.

range. The isolations of SA,A and SB,A are less than −24 dB which indicates that the coupling between the two input ports is low and has little effect with the situation of multi-ports excitation. The −45◦ phase shifter is designed based on the structure of the 3 dB directional coupler. The structure of the −45◦ phase shifter is shown in Fig. [6.](#page-2-2)

Fig. 6. -45° phase shifter (l_open = 4.88, w_open = 5.1, $unit = mm$).

In order to obtain a wideband −45◦ phase shifter, a rectangular patch is applied as an open-circuit structure. The effect of width and length of the rectangle patch on the phase shift is investigated. The simulation results are shown in Fig. [7.](#page-2-3)

Fig. 7. Simulation results of −45◦ phase shifter: (a) effect of w_open and l_open and (b) S parameter.

From Fig. [7,](#page-2-3) a stable phase shift of $-45\pm0.3^\circ$ can be observed. The 0◦ phase shifter is designed based on the structure of the -45° phase shifter, as shown in Fig. [8.](#page-3-0)

Fig. 8. 0 $^{\circ}$ phase shifter (1_open1 = 4.64, w_open1 = 5.2, l gap = 0.5, unit = mm).

Based on the -45° phase shifter, a transverse slot line is introduced into the rectangle patch to realize the $0°$ phase shifter. The simulation results are shown in Fig. [9.](#page-3-1)

Fig. 9. Simulation results of $0°$ phase shifter.

It can be seen from Fig. [9](#page-3-1) that the phase difference between port D and port D' is near to $0°$ and thus the 0° phase shifter is generated. The error of the 0° phase shifter is $\pm 0.25^\circ$ with the frequency range of 5-7 GHz.

B. 45/90◦ phase shifter

By cascading the $0°$ phase shifter to two 45 $°$ phase shifters with the CRLH transmission line, a 90◦ phase shifter could be realized. Firstly, the 45◦ phase shifter is designed, as shown in Fig. [10.](#page-3-2)

As shown in Fig. [10,](#page-3-2) the CRLH transmission composed of patch and microstrip line is simplified to the parallel inductors and series capacitors existing in the left-handed structure, so this shifter become miniaturized. The effect of the dimensions of CRLH transmission line structure on transmission parameter is investigated. The simulation results are shown in Fig. [11.](#page-3-3)

Fig. 10. 45° phase shifter (a) model and (b) equivalent circuit (m1 = 1.3, m2 = 7.1, m3 = 0.4, w0 = 1.61, w1 $= 0.5$, w2 $= 1.3$, w3 $= 1.9$, unit $=$ mm).

Fig. 11. *Continued*.

Fig. 11. Simulation results of 45◦ phase shifter: (a) effect of series inductance, (b) effect of parallel inductance, (c) effect of parallel capacitors, and (d) optimized simulation results.

(d)

 $\boldsymbol{6}$

Frequency (GHz)

(c)

 $w2=1.4$

6

Frequency (GHz)

Transmission phase(deg)

Transmission phase(deg)

65

55

35

 25

51

48

8

8

 $m2=8$ $w2=1.6$

7

The series inductance, parallel inductance, and parallel capacitors have effect on both low-frequency resonance points and high-frequency resonance points. Bandwidth can be changed by adjusting the structure parameters. The simulation results show that the phase imbalance does not exceed 0.8◦ at 5-7 GHz. Wideband stable phase shift characteristics can be observed. The $90°$ phase shifter is designed based on the 45° phase shifter as shown in Fig. [12.](#page-4-0)

Fig. 12. 90◦ phase shifter.

On the basis of the $0°$ phase shifter, the CRLH transmission line is connected at the input port and output port to obtain the 90◦ phase shifter structure. The simulation results are shown in Fig. [13.](#page-4-1)

Fig. 13. Simulation results of 90◦ phase shifter.

As shown in Fig. [13,](#page-4-1) the simulation results indicate that the phase shift value is $89.6° \pm 0.4°$. Hence, large and stable phase shift values can be achieved in the wide band, compared with a conventional phase shifter.

In general, the 3 dB coupler and $-45^{\circ}/0^{\circ}/90^{\circ}$ phase shifter are designed in sequence. The imbalance of the amplitude and phase shift are quite small for this component.

IV. SUB BUTLER MATRIX AND CONNECTION-OUTPUT MODULE

Based on the wideband components, a sub Butler matrix module and connection-output module could be obtained. The sub Butler matrix module is shown in Fig. [14.](#page-4-2)

Fig. 14. *Continued*.

 $\mathbf{0}$

 -20

 -40

 -60

 -80

 -100

 -10

 -20

 -30

 -50

 -60 -70

 $S_{E,E}(dB)$ 40

 $S_{E,E}(dB)$

Unit:mm

 $W₂$

 $=1.2$

5

5

Fig. 14. Sub Butler matrix module: (a) sub module 1 and (b) sub module 2.

Using the phase shifter and 3 dB directional coupler designed above, the sub Butler matrix module is constructed by connecting these components with a microstrip line in Fig. [14](#page-4-2) corresponding to zones I and II in Fig. [3.](#page-1-2) The simulation results are shown in Fig. [15.](#page-5-0)

It can be seen from Fig. [15](#page-5-0) that the amplitude imbalance of the output of sub Butler matrix modules 1 and 2 do not exceed 0.8 dB and 1.0 dB, respectively. The \pm 45[°]+1.6[°] and \pm 90 \pm 1.5[°] phase differences can be

Fig. 15. *Continued*.

Fig. 15. Simulation results of a sub Butler matrix module: (a) $S_{x,1}$, (b) output phase of sub Butler matrix module 1, (c) $S_{x,5}$, and (d) output phase of sub Butler matrix module 2.

obtained by excitation ports 1, 4, 5, and 8. In order to combine two 4×4 sub Butler matrix modules and output ports, the connection-output module corresponding to zone III in Fig. [3](#page-1-2) is designed. The connection-output module is shown in Fig. [16.](#page-6-0)

Fig. 16. Connection-output module composed of 90° phase shifter and 3 dB directional coupler.

The simulation results are shown in Fig. [17.](#page-6-1)

Fig. 17. Simulation results of connection-output module.

As shown in Fig. [17,](#page-6-1) the simulation results indicate the reflection coefficient and isolation are less than −25 dB at 5-7 GHz. The amplitude balance of the output ports is 3.2 ± 0.3 dB. The $180\pm1.2^\circ$ and $0\pm1.0^\circ$ phase difference between ports G' and H' can be realized by the excitation of ports G and H, respectively.

V. RESULTS AND DISCUSSION

Based on the sub Butler matrix module and connection-output module, an 8×8 Butler matrix can be realized, as shown in Fig. [18.](#page-6-2)

Corresponding to the topology of Fig. [1,](#page-1-0) zone I and zone II are sub Butler matrix module 1 and module 2, respectively. These two sub Butler matrix modules are connected by the middle connection-output module, as shown in zone III. The phase distribution of ± 1 , ± 2 OAM modes can be obtained from the 9-16 outputs by the excitation of ports 1, 4, 5, and 8, respectively. The simulation results are shown in Figs. [19](#page-6-3) and [20.](#page-7-0)

Fig. 18. 8×8 Butler matrix.

Fig. 19. *Continued*.

Fig. 19. Simulation results of S parameters: (a) port 1, (b) port 4, (c) port 5, and (d) port 8.

Fig. 20. Simulation results of phase difference: (a) input at port 1 and port 4 and (b) input at port 5 and port 8.

The simulation results indicate that there is a similarity between ports 1 and 4, as well as between ports 5 and 8, due to the symmetry of the Butler matrix structure. It can be observed that the amplification is almost equal and phase distribution is consistent with theory results as shown in Table [1.](#page-1-3) The reflection coefficient of ports 1 and 5 and the isolations are less than −20 dB in 5- 7 GHz. The transmission coefficients for input ports 1 and 5 is -9.9 ± 0.6 dB and -9.7 ± 0.5 dB, respectively. The phase balances by the excitation of ports 1 and 5 are $45^{\circ} \pm 2.1^{\circ}$ and $90^{\circ} \pm 2.2^{\circ}$, respectively. Quite good amplitude and phase stability can be observed in wide band.

In order to demonstrate the design work, two sub Butler matrix modules and a connection-output module are fabricated, as shown in Fig. [21.](#page-7-1)

Fig. 21. Photographs of the proposed Butler matrix: (a) sub Butler matrix module 1, (b) sub Butler matrix module 2, (c) connection-output module, and (d) whole Butler matrix.

A complete 8×8 Butler matrix structure can be obtained by connecting the output port of the sub Butler matrix module with input port of the connectionoutput module. The S parameters of these three modules and the 8×8 Butler matrix are measured. The measured results of the sub Butler matrix module 1 are shown in Fig. [22.](#page-8-0)

 $\overline{7}$

 $\overline{7}$

 $\overline{7}$

8

∖ww

Fig. 22. Measured results of sub Butler matrix module 1: (a) transmission coefficient, (b) reflection coefficient and isolation, and (c) phase difference of output.

As shown in Fig. [22,](#page-8-0) the amplitude imbalance of output of sub Butler matrix module 1 does not exceed 1.2 dB. The 45◦±5.6◦ phase difference can be obtained by excitation port 1. The measured results of sub Butler matrix module 2 are shown in Fig. [23.](#page-8-1)

Fig. 23. Measured results of sub Butler matrix module 2: (a) transmission coefficient, (b) reflection coefficient and isolation, and (c) phase difference of output.

As shown in Fig. [23,](#page-8-1) the amplitude imbalance of the output of sub Butler matrix module 2 does not exceed 1.4 dB. The $90^{\circ} \pm 5.5^{\circ}$ phase difference can be obtained by excitation port 5. The measured results of connectionoutput module are shown in Fig. [24.](#page-9-0)

As shown in Fig. [24,](#page-9-0) the amplitude and phase balance of connection-output module is −3.3±0.5 dB and

 $180^\circ \pm 5.2^\circ$ in the operating frequency range. The measured results of the 8×8 Butler matrix is shown in Figs. [25](#page-9-1) and [26.](#page-10-3)

From Figs. [25](#page-9-1) and [26,](#page-10-3) it can be seen that the measured results are in good agreement with the simulation results. The amplitude and phase balance in the operating frequency range are -10.3 ± 0.8 dB and -10.0 ± 0.7 dB, $45^{\circ} \pm 7.3^{\circ}$ and $90^{\circ} \pm 7.2^{\circ}$ for -1 mode and -2 mode, respectively. The amplified and phase error between measured and simulation results is less than 0.5 dB and 5◦ in most frequencies. Compared with the existing designs of the Butler matrix listed in Table [2,](#page-10-4) the number of beams for beamforming Butler matrix and the number of OAM wave modes for OAM generate Butler matrix is also listed. The proposed work has the advantages of low insertion loss, small phase error, and wide bandwidth, which is very attractive in wideband OAM generation. Also, the modified topology of the Butler matrix needed fewer phase shifters to generate necessary OAM modes.

Fig. 24. Measured results of connection-output module: (a) transmission coefficient, (b) reflection coefficient and isolation, and (c) phase difference of output.

Fig. 25. Measurement results for −1 mode: (a) transmission coefficient and (b) phase difference of output.

Fig. 26. Measurement results for −2 mode: (a) transmission coefficient and (b) phase difference of output.

Ref.	Freq.	Insert Loss/	Band-	Return	Ports	Beams /
	(GHz)	Phase Error	width	Loss/	Num.	Modes
				Isolation		Num.
[24]	1.8	$-7 + 0.5$	12%	<-25 dB/	4×4	4 Beams
		$dB/4^\circ$		<-20 dB		
[25]	7.5	$-7 + 1$ dB $/7^{\circ}$	10.7%	<-10 dB/	4×4	3 Modes
				<-15 dB		
$[26]$	2.2	$-10.1 + 2.2$ dB/	27%	<-10 dB/	8×8	8 Beams
		20.7°		<-10 dB		
[27]	2.45	$-10 + 1$ dB/10 ^o	8%	<-15 dB/	8×8	4 Modes
				<-15 dB		
This	$5 - 7$	$-10.3\pm$	33%	<-15 dB/	8×8	4 Modes
work		0.8 dB/7.3		<-15 dB		

Table 2: Comparison with previews work

VI. CONCLUSION

In this paper, a wideband 8×8 Butler matrix for generating $\pm 1/\pm 2$ OAM modes is designed. Unlike the conventional 8×8 Butler matrix generating eight modes, this design requires fewer phase shifters to generate necessary modes OAM vortex wave. Wideband microwave components are designed, namely 3 dB directional cou-

pler and $-45^{\circ}/0^{\circ}/90^{\circ}$ phase shifters based on CRLH transmission line. Two sub Butler matrix modules and a connection-output module are designed to form the 8×8 Butler matrix. This provides a design for generating multi-mode OAM waves with the advantages of simplified Butler matrix structure and stable transmission performance in the wide band. It is found that the measured results are in good agreement with simulation results. The quite small imbalance of amplitude and phase shift can be realized for different OAM modes.

ACKNOWLEDGMENT

This work was supported in part by the National Key Research and Development Program of China (2020YFB1807400), in part by the National Program on Key Basic Research Project of China (2019-JCJQ-ZD-067-00), and in part by the Shenzhen central government guidance local science and technology development fund under grant 2021Szvup081.

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