On Some Improved Classes of Estimators Under Stratified Sampling Using Attribute

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Abstract

This article establishes some improved classes of difference and ratio type estimators of population mean of study variable using information on auxiliary attribute under stratified simple random sampling. The usual mean estimator, classical ratio estimator, classical product estimator and classical regression estimator are identified as particular cases of the proposed classes of estimators for different values of the characterising scalars. The expression of mean square error of the suggested classes of estimators has been studied up to first order of approximation and their effective performances are likened with respect to the conventional as well as lately existing estimators. Subsequently, an empirical study has been carried out using a real data set in support of theoretical results. The empirical results justify the proposition of the proposed classes of estimators in terms of percent relative efficiency over

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all discussed work till date. Suitable suggestions are forwarded to the survey practitioners.

Keywords: Auxiliary attribute, efficiency, mean square error, stratified simple random sampling.

1 Introduction

In sample surveys, the use of auxiliary information is a well-known fact to enhance the efficiency of the estimators. Several improved and modified ratio, regression, product and logarithmic type estimators have been suggested using auxiliary information under different sampling schemes by various authors including Nazir et al. (2018), Lone et al. (2021), Bhushan et al. (2020a, b, c, 2021a, b), Bhushan and Kumar (2021, 2022), etc. Many times, in real life situations, the variable of interest may not be associated with a quantitative auxiliary variable and some qualitative auxiliary characteristic might be easily available which is significantly associated with the variable of interest. For example:

- The height of person (y) may depend on sex ϕ i.e, the person is male or female.
- The amount of yield of paddy crop (y) may rely on a certain variety of paddy (ϕ).
- The amount of milk produce (y) may depend on a certain breed of buffalo (ϕ).
- The use of drugs (y) may depend on the sex (ϕ).

Thus, taking advantage of bi-serial correlation (ρ) into consideration, several authors proposed various class of estimators using attribute under different sampling framework. Naik and Gupta (1996) suggested classical ratio, product and regression estimators under simple random sampling (SRS). Singh et al. (2007) introduced attribute based exponential ratio and product type estimators in SRS. Abd-Elfattah et al. (2010) used information on attribute and investigated different exponential type estimators of population mean under SRS. Zaman and Kadilar (2019) addressed a novel family of exponential estimators using information of auxiliary attribute whereas Zaman and Kadilar (2021a) considered a new class of exponential estimators for finite population mean in two-phase sampling. Zaman (2019a) proffered an improved estimators using coefficient of skewness of auxiliary attribute

under SRS. Zaman (2020) developed a generalized exponential estimator for the finite population mean based on attribute. Bhushan and Gupta (2020) envisaged an improved log-type family of estimators using attribute in SRS.

When the nature of population is heterogeneous then a well-known stratified simple random sampling (SSRS) is to be used to estimate the population parameters. It is based on dividing the whole population into homogeneous sub-populations known as "strata" and selecting a simple random sample independently from different strata. Sharma and Singh (2013) introduced exponential type estimators under SSRS using known population proportion. Zaman (2019b) evoked an efficient estimators of population mean using auxiliary attribute in SSRS. Zaman and Kadilar (2020) and Zaman (2021) proposed various exponential type estimators for population mean using auxiliary attribute under SSRS. Zaman and Kadilar (2021b) suggested exponential ratio and product type estimators of the mean in stratified twophase sampling. It has been observed empirically that the efficiency of the above estimators introduced by different authors is at most equal to the classical regression estimator defined on the lines of Naik and Gupta (1996) under SSRS. The above discussion put a question: "Is there any procedure of obtaining better estimator than the classical regression estimator?" In this paper, we have made an effort to answer this question by suggesting some improved classes of difference and ratio type estimators using known population proportions.

The article is organized in following sections. Section 2 considers prominent estimators suggested till date in SSRS using attribute with their properties. In Section 3, we suggested some improved classes of estimators and studied their properties. The efficiency conditions are obtained in Section 4 which are further verified in Section 5 by an empirical study and discussion of empirical results. The conclusion of the study is given in Section 6.

2 Existing Estimators

Consider a finite population $U = (U_1, U_2, ..., U_N)$ consist of N identifiable units which is divided into L homogeneous strata and a simple random sample s of size n_h is measured from stratum h using simple random sampling without replacement scheme. Let y_{h_i} and ϕ_{h_i} be the study variable y and auxiliary attribute ϕ for unit i in the stratum h of population U. It is noted that $\phi_i = 1$ if the unit i possess attribute ϕ and $\phi_i = 0$, otherwise. Let $A = \sum_{i=1}^{N} \phi_i$ and $a = \sum_{i=1}^{n} \phi_i$ be the total number of units in the population

U and sample s respectively possessing attribute ϕ whereas $\mathbf{P} = (A/N)$ be the population proportion, $P_h = (A_h/N_h)$ be the population proportion in stratum h and $p_h = (a_h/n_h)$ be the sample proportion of stratum h having attribute ϕ . The sample mean of study variable y is $\overline{y}_{st} = \sum_{h=1}^{L} W_h \overline{y}_h$, where $\overline{y}_h = n_h^{-1} \sum_{h=1}^{n_h} \overline{y}_{h_i}$, the population mean of study variable is $\overline{Y}_{st} = \sum_{h=1}^{L} W_h \overline{y}_h$, where $\overline{Y}_h = N_h^{-1} \sum_{h=1}^{N_h} \overline{y}_{h_i}$ and the weight of stratum is $W_h = N_h/N$. The population mean square of study variable in stratum h is $S_{y_h}^2 = (N_h - 1)^{-1} \sum_{i=1}^{N_h} (y_{h_i} - \overline{Y}_h)^2$ and population mean square of auxiliary attribute in stratum h is $S_{p_h}^2 = (N_h - 1)^{-1} \sum_{i=1}^{N_h} (y_{h_i} - \overline{Y}_h)^2$ no obtain the mean square error (MSE) of different estimators, let us assume that $\overline{y}_{st} = \overline{Y}(1 + e_0)$ and $p_{st} = \mathbf{P}(1 + e_1)$ such that $\mathbf{E}(e_0) = \mathbf{E}(e_1) = 0$, $\mathbf{E}(e_0^2) = \frac{1}{\overline{Y}^2} \sum_{h=1}^{L} W_h^2 \gamma_h S_{y_h}^2$, $\mathbf{E}(e_1^2) = \frac{1}{P^2} \sum_{h=1}^{L} W_h^2 \gamma_h S_{p_h}^2$ and $\mathbf{E}(e_0e_1) = \frac{1}{\overline{Y}} \cdot \frac{1}{P} \sum_{h=1}^{L} W_h^2 \gamma_h \rho_h S_{y_h}$. Where $\gamma_h = (N_h - n_h)/N_h n_h$.

Now, we consider a review of some prominent attribute-based estimators under SSRS along with their properties.

The usual mean estimator under SSRS is defined as

$$T_m = \overline{y}_{st} \tag{1}$$

The variance of the above estimator is given by

$$V(T_m) = \sum_{h=1}^{L} W_h^2 \gamma_h S_{yh}^2 \tag{2}$$

Following Naik and Gupta (1996), the classical combined ratio, product and regression estimators for population mean \overline{Y} using auxiliary attribute can be defined under SSRS as

$$T_r = \overline{y}_{st} \left(\frac{P}{p_{st}}\right) \tag{3}$$

$$T_p = \overline{y}_{st} \left(\frac{p_{st}}{P}\right) \tag{4}$$

$$T_{lr} = \left[\overline{y}_{st} + \beta_{\phi} \left(P - p_{st}\right)\right] \tag{5}$$

where β_{ϕ} is the regression coefficient of y on ϕ and $p_{st} = \sum_{h=1}^{L} W_h p_h$ is the sample proportion. The MSE of the above estimators is respectively

given by

$$MSE(T_r) = \sum_{h=1}^{L} W_h^2 \gamma_h [S_{yh}^2 + R^2 S_{ph}^2 - 2R\rho_h S_{yh} S_{ph}]$$
(6)

$$MSE(T_p) = \sum_{h=1}^{L} W_h^2 \gamma_h [S_{yh}^2 + R^2 S_{ph}^2 + 2R\rho_h S_{yh} S_{ph}]$$
(7)

$$MSE(T_{lr}) = \sum_{h=1}^{L} W_h^2 \gamma_h [S_{yh}^2 + \beta_\phi^2 R^2 S_{ph}^2 - 2\beta_\phi R \rho_h S_{yh} S_{ph}]$$
(8)

where $\mathbf{R} = \overline{Y}/P$ is the population ratio. Now, minimizing the MSE (T_{lr}) with respect to (w.r.t.) β_{ϕ} , we get

$$\beta_{\phi_{(opt)}} = \frac{\sum_{h=1}^{L} W_h^2 \gamma_h \rho_h S_{yh} S_{ph}}{R \sum_{h=1}^{L} W_h^2 \gamma_h S_{ph}^2}$$

Putting $\beta_{\phi_{(opt)}}$ in the MSE (T_{lr}) , we get

minMSE
$$(T_{lr}) = \sum_{h=1}^{L} W_h^2 \gamma_h S_{yh}^2 (1 - \rho_h^2)$$
 (9)

The exponential functions model a relationship in which a constant change in the independent variable gives the equal proportional change in the dependent variable. Therefore, motivated by Singh et al. (2007), Sharma and Singh (2013) investigated ratio and product exponential type estimators under SSRS as

$$T_{ss_1} = \overline{y}_{st} exp\left(\frac{P - p_{st}}{P + p_{st}}\right) \tag{10}$$

$$T_{ss_2} = \overline{y}_{st} exp\left(\frac{p_{st} - P}{p_{st} + P}\right) \tag{11}$$

The MSE of the above estimators is given by

$$MSE(T_{ss_1}) = \sum_{h=1}^{L} W_h^2 \gamma_h \left[S_{yh}^2 + \frac{R^2}{4} S_{ph}^2 - R\rho_h S_{yh} S_{ph} \right]$$
(12)

$$\mathsf{MSE}(T_{ss_2}) = \sum_{h=1}^{L} W_h^2 \gamma_h \left[S_{yh}^2 + \frac{R^2}{4} S_{ph}^2 + R\rho_h S_{yh} S_{ph} \right]$$
(13)

Sharma and Singh (2013) introduced another exponential type estimator under SSRS as

$$T_{ss_3} = \overline{y}_{st} exp\left(\frac{\eta(P - p_{st})}{(P + p_{st})}\right)$$
(14)

where η is a suitably chosen scalar.

The MSE of the above estimator is given by

$$MSE(T_{ss_3}) = \sum_{h=1}^{L} W_h^2 \gamma_h \left[S_{yh}^2 + \frac{\eta^2 R^2}{4} S_{ph}^2 - \eta R \rho_h S_{yh} S_{ph} \right]$$
(15)

Minimizing the MSE (T_{ss_3}) w.r.t. η , we get

$$\eta_{(opt)} = 2 \frac{\sum_{h=1}^{L} W_h^2 \gamma_h \rho_h S_{yh} S_{ph}}{R \sum_{h=1}^{L} W_h^2 \gamma_h S_{ph}^2}$$

Putting $\eta_{(opt)}$ in the MSE (T_{ss_3}) , we get

$$\min MSE(T_{ss_3}) = \sum_{h=1}^{L} W_h^2 \gamma_h S_{yh}^2 (1 - \rho_h^2)$$
(16)

which is the minimum MSE of the classical regression estimator T_{lr} .

Zaman and Kadilar (2020) envisaged a family of ratio exponential estimator under SSRS as

$$T_{zk_1} = \overline{y}_{st} exp\left[\frac{(mP_{st} + n) - (mp_{st} + n)}{(mP_{st} + n) + (mp_{st} + n)}\right]$$
(17)

The MSE of the above estimator is given by

$$MSE(T_{zk_1}) = \sum_{h=1}^{L} W_h^2 \gamma_h \left[S_{yh}^2 + \frac{R^2 v_h^2}{4} S_{ph}^2 - R v_h \rho_h S_{yh} S_{ph} \right]$$
(18)

where $v_h = m_h P_h / (m_h P_h + n_h)$.

On the lines of Koyuncu and Kadilar (2009), Zaman and Kadilar (2020) suggested an improved form of the above estimator under SSRS as

$$T_{zk_2} = \lambda \overline{y}_{st} \exp\left[\frac{(mP_{st}+n) - (mp_{st}+n)}{(mP_{st}+n) + (mp_{st}+n)}\right]$$
(19)

where λ is a suitably chosen scalar.

The MSE of the estimator T_{zk_2} is given by

$$MSE(T_{zk_2}) = \left[\overline{Y}^2 (\lambda - 1)^2 + \lambda^2 \sum_{h=1}^{L} W_h^2 \gamma_h S_{yh}^2 + (3\lambda^2 - 2\lambda) \frac{R^2}{4} \sum_{h=1}^{L} W_h^2 \gamma_h v_h^2 S_{ph}^2 - (2\lambda^2 - \lambda) \sum_{h=1}^{L} W_h^2 \gamma_h v_h \rho_h S_{yh} S_{ph}\right]$$
(20)

Minimizing the $MSE(T_{zk_2})$ w.r.t. λ , we get

$$\begin{aligned} (2\overline{Y}^2 + \frac{R^2}{2} \sum_{h=1}^{L} W_h^2 \gamma_h v_h^2 S_{ph}^2 \\ \lambda_{(opt)} &= \frac{-R \sum_{h=1}^{L} W_h^2 \gamma_h v_h \rho_h S_{yh} S_{ph})}{(2\overline{Y}^2 + 2 \sum_{h=1}^{L} W_h^2 \gamma_h S_{yh}^2 + \frac{3}{2} R^2 \sum_{h=1}^{L} W_h^2 \gamma_h v_h^2 S_{ph}^2} &= \lambda^* (\text{say}) \\ -4R \sum_{h=1}^{L} W_h^2 \gamma_h v_h \rho_h S_{yh} S_{ph}) \end{aligned}$$

Putting $\lambda_{(opt)}$ in the MSE (T_{zk_2}) , we get

$$\min MSE(T_{zk_2}) = \begin{bmatrix} (\lambda^* - 1)^2 + \lambda^{*2} \sum_{h=1}^{L} W_h^2 \gamma_h S_h^2 \\ + (3\lambda^{*2} - 2\lambda^*) \frac{R^2}{4} \sum_{h=1}^{L} W_h^2 \gamma_h \\ v_h^2 S_h^2 - R \left(2\lambda^{*2} - \lambda^* \right) \sum_{h=1}^{L} W_h^2 \gamma_h v_h \rho_h S_{yh} S_{ph} \end{bmatrix}$$
(21)

On the lines of Zaman (2020), one may define an exponential ratio type estimator using auxiliary attribute under SSRS as

$$T_z = \overline{y}_{st} \left(\frac{p_{st}}{P_{st}}\right)^{\theta} \exp\left[\frac{(mP_{st}+n) - (mp_{st}+n)}{(mP_{st}+n) + (mp_{st}+n)}\right]$$
(22)

where θ is a suitably chosen scalar.

The MSE of the estimator T_z is given by

$$MSE(T_z) = \sum_{h=1}^{L} W_h^2 \gamma_h \begin{bmatrix} S_{yh}^2 + \theta^2 R^2 S_{ph}^2 + \upsilon_h^2 R^2 S_{ph}^2 - 2\theta R^2 \upsilon_h S_{ph}^2 \\ + 2\theta R \rho_h S_{yh} S_{ph} - 2R \upsilon_h \rho_h S_{yh} S_{ph} \end{bmatrix}$$
(23)

Minimizing the MSE (T_z) w.r.t. θ , we get

$$\theta_{(opt)} = \left(\frac{\sum_{h=1}^{L} W_h^2 \gamma_h \upsilon_h S_{ph}^2}{R \sum_{h=1}^{L} W_h^2 \gamma_h S_{ph}^2} - \frac{\sum_{h=1}^{L} W_h^2 \gamma_h \upsilon_h \rho_h S_{yh} S_{ph}}{R \sum_{h=1}^{L} W_h^2 \gamma_h S_{ph}^2}\right)$$

Putting $\theta_{(opt)}$ in the MSE (T_z) , we get

minMSE
$$(T_z) = \sum_{h=1}^{L} W_h^2 \gamma_h S_{yh}^2 (1 - \rho_h^2)$$
 (24)

which is the minimum MSE of classical regression estimator T_{lr} .

Following Abd-Elfattah et al. (2010), Zaman (2021) envisaged following class of estimator under SSRS as

$$T_{z_1} = \overline{y}_{st} exp\left(\frac{P_{st_1} - p_{st_1}}{P_{st_1} + p_{st_1}}\right)$$
(25)

$$T_{z_2} = \overline{y}_{st} \exp\left(\frac{P_{st_2} - p_{st_2}}{P_{st_2} + p_{st_2}}\right)$$
(26)

$$T_{z_3} = \overline{y}_{st} \exp\left(\frac{P_{st_3} - p_{st_3}}{P_{st_3} + p_{st_3}}\right)$$
(27)

$$T_{z_4} = \overline{y}_{st} \exp\left(\frac{P_{st_4} - p_{st_4}}{P_{st_4} + p_{st_4}}\right)$$
(28)

$$T_{z_5} = \overline{y}_{st} \exp\left(\frac{P_{st_5} - p_{st_5}}{P_{st_5} + p_{st_5}}\right)$$
(29)

where $P_{st_1} = \sum_{h=1}^{L} W_h(P_h + C_{ph}), p_{st_1} = \sum_{h=1}^{L} W_h(p_h + C_{ph}), P_{st_2}$ $= \sum_{h=1}^{L} W_h(P_h + \beta_{2h}(\phi)), p_{st_2} = \sum_{h=1}^{L} W_h(p_h + \beta_{2h}(\phi)), P_{st_3} = \sum_{h=1}^{L} W_h(P_h\beta_{2h}(\phi) + C_{ph}), p_{st_3} = \sum_{h=1}^{L} W_h(p_h\beta_{2h}(\phi) + C_{ph}), P_{st_4} = \sum_{h=1}^{L} W_h(P_hC_{ph} + \beta_{2h}(\phi)), p_{st_4} = \sum_{h=1}^{L} W_h(p_hC_{ph} + \beta_{2h}(\phi)), P_{st_5} = \sum_{h=1}^{L} W_h(P_h + \rho_h), p_{st_5} = \sum_{h=1}^{L} W_h(p_h + \rho_h).$ Here, $C_{ph} = S_{ph}/P$ and $\beta_{2h}(\phi)$ are respectively the coefficient of variation and coefficient of kurtosis of auxiliary attribute ϕ and ρ_h is the bi-serial correlation coefficient between study variable and auxiliary attribute in stratum h.

The MSE of the above estimators is given by

$$MSE(T_{z_i}) = \sum_{h=1}^{L} W_h^2 \gamma_h \left[S_{yh}^2 + \frac{R_i^2}{4} S_{ph}^2 - R_i \rho_h S_{yh} S_{ph} \right], \ i = 1, 2, \dots, 5$$
(30)

where $R_1 = \sum_{h=1}^{L} W_h \overline{Y}_h / \sum_{h=1}^{L} W_h (P_h + C_{ph}), R_2 = \sum_{h=1}^{L} W_h \overline{Y}_h / \sum_{h=1}^{L} W_h (P_h + \beta_{2h}(\phi)), R_3 = \sum_{h=1}^{L} W_h \overline{Y}_h / \sum_{h=1}^{L} W_h (P_h \beta_{2h}(\phi) + C_{ph}), R_4 = \sum_{h=1}^{L} W_h \overline{Y}_h / \sum_{h=1}^{L} W_h (P_h C_{ph} + \beta_{2h}(\phi)), R_5 = \sum_{h=1}^{L} W_h \overline{Y}_h / \sum_{h=1}^{L} W_h (P_h + \rho_h).$

Zaman (2021) suggested another improved estimator under SSRS given as

$$T_{z_6} = \overline{y}_{st} \exp\left(\frac{P_{st_6} - p_{st_6}}{P_{st_6} + p_{st_6}}\right)^{\alpha}$$
(31)

where α is a suitably chosen scalar, $P_{st_6} = \sum_{h=1}^{L} W_h(mP_h + n)$, $p_{st_6} = \sum_{h=1}^{L} W_h(mp_h + n)$ such that *m* and *n* are either real values or the function of known parameters associated with the auxiliary attribute namely, standard deviation S_{ph} , coefficient of correlation ρ_h , coefficient of kurtosis $\beta_{2h}(\phi)$, coefficient of variation C_{ph} , etc in stratum *h*.

The MSE of the above estimator is given by

$$MSE(T_{z_6}) = \sum_{h=1}^{L} W_h^2 \gamma_h \left[S_{yh}^2 + \frac{\alpha^2 R_6^2}{4} S_{ph}^2 - \alpha R_6 \rho_h S_{yh} S_{ph} \right]$$
(32)

where $R_6 = \sum_{h=1}^{L} W_h \overline{Y}_h / \sum_{h=1}^{L} W_h (m_h P_h + n_h)$. Minimizing the MSE (T_{z_6}) w.r.t. α , we get

$$\alpha_{(opt)} = 2 \frac{\sum_{h=1}^{L} W_h^2 \gamma_h \rho_h S_{yh} S_{ph}}{R_6 \sum_{h=1}^{L} W_h^2 \gamma_h S_{ph}^2}$$

Putting $\alpha_{(opt)}$ in the MSE (T_{z_6}) , we get

minMSE
$$(T_{z_6}) = \sum_{h=1}^{L} W_h^2 \gamma_h S_{yh}^2 (1 - \rho_h^2)$$
 (33)

which is the minimum MSE of classical regression estimator T_{lr} .

3 Proposed Classes of Estimators

Motivated by Bhushan and Kumar (2020) and Bhushan et al. (2021c), we have proposed some improved classes of difference and ratio type estimators

for population mean using attribute under SSRS as

$$T_{p_1} = \alpha_1 \overline{y}_{st} + \beta_1 (p_{st} - P) \tag{34}$$

$$T_{p_2} = \alpha_2 \overline{y}_{st} \left(\frac{P}{p_{st}}\right)^{\beta_2} \tag{35}$$

$$T_{p_3} = \alpha_3 \overline{y}_{st} \left[\frac{P}{P + \beta_3 (p_{st} - P)} \right]$$
(36)

$$T_{p_4} = \alpha_4 \overline{y}_{st} + \beta_4 (p_{st}^* - P^*)$$
(37)

$$T_{p_5} = \alpha_5 \overline{y}_{st} \left(\frac{P^*}{p_{st}^*}\right)^{\rho_5} \tag{38}$$

$$T_{p_6} = \alpha_6 \overline{y}_{st} \left[\frac{P^*}{P^* + \beta_6 (p_{st}^* - P^*)} \right]$$
(39)

where α_i , β_i , i = 1,2,...,6 are suitably chosen scalars, $P^* = mP + n$, $p_{st}^* = mp_{st} + n$, *m* and *n* are either real values or function of parameters of auxiliary attribute ϕ .

Theorem 3.1. The minimum MES of the proposed class of estimators T_{p_i} , i = 1, 4 is given by

$$\min \mathsf{MSE}(T_{p_i}) = \overline{Y}^2 [1 - \alpha_{i(opt)}] = \overline{Y}^2 \left[1 - \frac{B_i^2}{A_i} \right]$$
(40)

Proof: Consider the estimator

$$T_{p_1} = \alpha_1 \overline{y}_{st} + \beta_1 (p_{st} - P)$$

Express the above estimator in terms of e's, we get

$$T_{p_1} - \overline{Y} = (\alpha_1 - 1)\overline{Y} + \alpha_1\overline{Y}e_0 + \beta_1Pe_1$$
(41)

Squaring both sides of (41) and taking expectation, we will get the MSE of the estimator T_{p_1} up to first order of approximation as

$$MSE(T_{p_1}) = \overline{Y}^2 (\alpha_1 - 1)^2 + \sum_{h=1}^L W_h^2 (\alpha_1^2 \overline{Y}^2 \gamma_h C_{yh}^2 + \beta_1^2 P^2 \gamma_h C_{ph}^2 + 2\alpha_1 \beta_1 \overline{Y} P \gamma_h \rho_h C_{yh} C_{ph})$$
(42)

The above MSE is minimized for α_1 and β_1 as

$$\alpha_{1_{(opt)}} = \frac{1}{\left(1 + \sum_{h=1}^{L} \gamma_h C_{y_h}^2 - \frac{\left(\sum_{h=1}^{L} \gamma_h \rho_h C_{y_h} C_{ph}\right)^2}{\sum_{h=1}^{L} \gamma_h C_{ph}^2}\right)} = \frac{B_1}{A_1} (\text{say})$$

and

$$\beta_{1_{(opt)}} = -\frac{\overline{Y} \sum_{h=1}^{L} \gamma_h \rho_h C_{yh} C_{ph}}{P \sum_{h=1}^{L} \gamma_h C_{ph}^2} \alpha_{1_{(opt)}}$$

Putting $\alpha_{1_{(opt)}}$ and $\beta_{1_{(opt)}}$ in the MSE(T_{p_1}), we get the minimum MSE as

$$\min\mathsf{MSE}(T_{p_1}) = \overline{Y}^2 (1 - \alpha_{1_{(opt)}}) = \overline{Y}^2 \left(1 - \frac{B_1^2}{A_1}\right) \tag{43}$$

The minimum MSE of the other estimator T_{p_4} can be found in similar lines.

Theorem 3.2. The minimum MSE of the proposed classes of estimators T_{p_i} , i = 2, 3, 5, 6 is given by

$$\min MSE(T_{p_i}) = \overline{Y}^2 \left(1 - \frac{B_i^2}{A_i}\right)$$
(44)

Proof: Using the notations discussed earlier, the MSE of estimator T_{p_2} is given by

$$MSE(T_{p_{2}}) = \overline{Y}^{2} \begin{bmatrix} 1 + \alpha_{2}^{2} \left\{ 1 + \sum_{h=1}^{L} W_{h}^{2} \gamma_{h} (C_{yh}^{2} + \beta_{2} (2\beta_{2} + 1) C_{ph}^{2} \right\} \\ -4\beta_{2} \rho_{h} C_{yh} C_{ph} \end{bmatrix} \\ -2\alpha_{2} \left\{ 1 + \sum_{h=1}^{L} W_{h}^{2} \gamma_{h} \left(\frac{\beta_{2} (\beta_{2} + 1)}{2} C_{ph}^{2} \right) \\ -\beta_{2} \rho_{h} C_{yh} C_{ph} \end{bmatrix}$$
(45)

which can further be written as

$$MSE(T_{p_2}) = \overline{Y}^2 [1 + \alpha_2^2 A_2 - 2\alpha_2 B_2]$$
(46)

Minimizing the MSE (T_{p_2}) w.r.t. the scalar α_2 , we get

$$\alpha_{2_{(opt)}} = \frac{B_2}{A_2}$$

Putting $\alpha_{2_{(opt)}}$ in the MSE(T_{p_2}), we get

$$\min MSE(T_{p_2}) = \overline{Y}^2 \left(1 - \frac{B_2^2}{A_2}\right)$$
(47)

The MSE of other estimators can be obtained in similar lines. In general, we can write

$$\mathsf{MSE}(T_{p_i}) = \overline{Y}^2 [1 + \alpha_i^2 A_i - 2\alpha_i B_i]$$

It is to be noted that the simultaneous minimization of α_i and β_i of the above MSE expression is not possible so we utilize the optimum values of $\beta_i = \beta_{i(opt)}$ when $\alpha_i = 1$ and use this within $\alpha_i = \alpha_{i(opt)}$ to obtain the MSE expressions. The optimum values of the scalars are given by

$$\alpha_{i_{(opt)}} = \frac{B_i}{A_i}, \quad i=2,3,5,6$$

where

$$\begin{aligned} A_2 &= 1 + \sum_{h=1}^{L} W_h^2 \gamma_h (C_{yh}^2 + \beta_2 (2\beta_2 + 1) C_{ph}^2 - 4\beta_2 \rho_h C_{yh} C_{ph}) \\ B_2 &= 1 + \sum_{h=1}^{L} W_h^2 \gamma_h \left(\frac{\beta_2 (\beta_2 + 1)}{2} C_{ph}^2 - \beta_2 \rho_h C_{yh} C_{ph} \right) \\ A_3 &= 1 + \sum_{h=1}^{L} W_h^2 \gamma_h (C_{yh}^2 + 3\beta_3^2 C_{ph}^2 - 4\beta_3 \rho_h C_{yh} C_{ph}) \\ B_3 &= 1 + \sum_{h=1}^{L} W_h^2 \gamma_h (\beta_3^2 C_{ph}^2 - \beta_3 \rho_h C_{yh} C_{ph}) \\ A_5 &= 1 + \sum_{h=1}^{L} W_h^2 \gamma_h (C_{yh}^2 + \beta_5 (2\beta_5 + 1) v_h^2 C_{ph}^2 - 4\beta_5 v_h \rho_h C_{yh} C_{ph}) \\ B_5 &= 1 + \sum_{h=1}^{L} W_h^2 \gamma_h \left(\frac{\beta_5 (\beta_5 + 1)}{2} v_h^2 C_{ph}^2 - \beta_5 v_h \rho_h C_{yh} C_{ph} \right) \end{aligned}$$

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$$A_{6} = 1 + \sum_{h=1}^{L} W_{h}^{2} \gamma_{h} (C_{yh}^{2} + 3\beta_{6}^{2} \upsilon_{h}^{2} C_{ph}^{2} - 4\beta_{6} \upsilon_{h} \rho_{h} C_{yh} C_{ph})$$
$$B_{6} = 1 + \sum_{h=1}^{L} W_{h}^{2} \gamma_{h} (\beta_{6}^{2} \upsilon_{h}^{2} C_{ph}^{2} - \beta_{6} \upsilon_{h} \rho_{h} C_{yh} C_{ph})$$

Here, $\beta_{2_{(opt)}} = (\sum_{h=1}^{L} \gamma_h \rho_h C_{yh} C_{ph} / \sum_{h=1}^{L} \gamma_h C_{ph}^2) = \beta_{3_{(opt)}}$ and $\beta_{5_{(opt)}} = \beta_{2_{(opt)}} / \upsilon_h = \beta_{6_{(opt)}}$ are used as an optimum values when $\alpha_i = 1$ is considered in the corresponding estimators.

4 Efficiency Conditions

We compare the minimum MSE of the proposed estimators T_{p_i} , $i = 1, 2, \ldots, 6$ with the minimum MSE of the existing estimators and get the following efficiency conditions.

(i). On comparing minimum MSE of the proposed estimators T_{p_i} with mean per unit estimator T_m from (40) and (44) with (2), we get

$$\frac{B_i^2}{A_i} \ge 1 - \sum_{h=1}^L W_h^2 \gamma_h C_{yh}^2$$
(48)

(ii). On comparing minimum MSE of proposed estimators T_{p_i} with classical ratio estimator T_r from (40) and (44) with (6), we get

$$\frac{B_i^2}{A_i} \ge 1 - \sum_{h=1}^{L} W_h^2 \gamma_h [C_{yh}^2 + C_{ph}^2 - 2\rho_h C_{yh} C_{ph}]$$
(49)

(iii). On comparing minimum MSE of proposed estimators T_{p_i} with classical product estimator T_p from (40) and (44) with (7), we get

$$\frac{B_i^2}{A_i} \ge 1 - \sum_{h=1}^{L} W_h^2 \gamma_h [C_{yh}^2 + C_{ph}^2 + 2\rho_h C_{yh} C_{ph}]$$
(50)

(iv). On comparing minimum MSE of proposed estimators T_{p_i} and classical regression estimator T_{lr} , Sharma and Singh (2013) estimator T_{ss_3} , Zaman (2020) estimator T and Zaman (2021) estimator T_{z_6} from (40) and (44) with (9), (16), (24), (33) respectively, we get

$$\frac{B_i^2}{A_i} \ge 1 - \sum_{h=1}^L W_h^2 \gamma_h C_{yh}^2 (1 - \rho_h^2)$$
(51)

(v). On comparing minimum MSE of proposed estimator T_{p_i} with Sharma and Singh (2013) estimator T_{ss_1} from (40) and (44) with (12), we get

$$\frac{B_i^2}{A_i} \ge 1 - \sum_{h=1}^{L} W_h^2 \gamma_h \left[C_{yh}^2 + \frac{C_{ph}^2}{4} - \rho_h C_{yh} C_{ph} \right]$$
(52)

(vi). On comparing minimum MSE of proposed estimators T_{p_i} with Sharma and Singh (2013) estimator T_{ss_2} from (40) and (44) with (13), we get

$$\frac{B_i^2}{A_i} \ge 1 - \sum_{h=1}^{L} W_h^2 \gamma_h \left[C_{yh}^2 + \frac{C_{ph}^2}{4} + \rho_h C_{yh} C_{ph} \right]$$
(53)

(vii). On comparing minimum MSE of proposed estimators T_{p_i} with Zaman (2021) estimators T_{z_i} , i = 1, 2, ..., 5 from (40) and (44) with (30), we get

$$\frac{B_i^2}{A_i} \ge 1 - \frac{1}{\overline{Y}^2} \sum_{h=1}^{L} W_h^2 \gamma_h \left[S_{yh}^2 + \frac{R_i^2}{4} S_{ph}^2 - R_i \rho_h S_{yh} S_{ph} \right]$$
(54)

(viii). On comparing minimum MSE of proposed estimator T_{p_i} with Zaman and Kadilar (2020) estimator T_{zk_1} from (40) and (44) with (18), we get

$$\frac{B_i^2}{A_i} \ge 1 - \sum_{h=1}^{L} W_h^2 \gamma_h \left[C_{yh}^2 + \upsilon_h^2 \frac{C_{ph}^2}{4} + \rho_h \upsilon_h C_{yh} C_{ph} \right]$$
(55)

(ix). On comparing minimum MSE of proposed estimators T_{p_i} with Zaman and Kadilar (2020) estimator T_{zk_2} from (40) and (44) with (21), we get

$$\frac{B_{i}^{2}}{A_{i}} \geq 1 - \frac{1}{\overline{Y}^{2}} \begin{bmatrix} \overline{Y}^{2}(\lambda - 1)^{2} + \lambda^{2}\sum_{h=1}^{L}W_{h}^{2}\gamma_{h}S_{yh}^{2} \\ + (3\lambda^{2} - 2\lambda)\frac{R^{2}}{4}\sum_{h=1}^{L}W_{h=1}^{2}\gamma_{h}\upsilon_{h}^{2}S_{ph}^{2} \\ -R(2\lambda^{2} - \lambda)\sum_{h=1}^{L}W_{h}^{2}\gamma_{h}\upsilon_{h}\rho_{h}S_{yh}S_{ph} \end{bmatrix}$$
(56)

It is to be noted that only under above conditions, the proposed classes of estimators T_{p_i} , i = 1, 2, ..., 6 become superior than the usual mean estimator, classical ratio, product and regression estimators, Sharma and Singh (2013) estimator, Zaman and Kadilar (2020) estimator, Zaman (2020) type estimator and Zaman (2021) estimators. Subsequently, these conditions are verified through an empirical study using real data set.

5 Empirical Study

In order to verify the theoretical results of the proposed classes of estimators, we have performed an empirical study over the data set of Kadilar and Cingi (2003). The data set is based on the production of apples as study variable and number of apple trees as auxiliary variable in six regions of Turkey namely, Marmara, Agean, Mediterranean, central Anatolia, Black Sea and East and Southeast Anatolia in 1999. These six regions of Turkey are considered as sub-population/strata. Taking Neyman allocation (Cochran, 1977) into consideration, we have randomly drawn the districts from each strata using given formula:

$$n_h = n \frac{N_h S_h}{\sum_{h=1}^L N_h S_h}, \quad h = 1, 2, \dots, 6$$
(57)

Neyman allocation (Neyman, 1934) is a method to allocate samples into strata based on the strata variance and corresponding sampling cost in the strata which gives an unbiased estimator of population mean provided the total sample size. The different strata may not differ much from each other w.r.t. costs. We consider equal costs for all strata. However, the sample can often be divided into subsamples using SSRS such that for L subsamples, each of them has a sample size n_h , h = 1, 2, ..., L, with $n_1+n_2+\cdots+n_L = n$. A sample of size n = 200 units is drawn with the help of Neyman allocation defined in (57) and summarized in Table 1. The percent relative efficiency (PRE) of the class of estimators with respect to mean per unit estimator T_m are computed using the following formula:

$$PRE = \frac{MSE(T_m)}{MSE(T)} \times 100$$

where $T=T_m, T_r, T_p, T_{lr}, T_{ss_i}$, $i = 1,2,3, T_{zk_1}, T_{zk_2}, T_z, T_{z_i}$, i = 1,2,...,6and T_{p_i} , i = 1,2,...,6. The empirical results are displayed in Table 2 in terms of MSE and PRE. These results of Table 2 are further displayed through line diagrams given in Figures 1 and 2 by MSE and PRE respectively.

Table 1 Descriptive statistics of the population								
Known		Symbol for						
Parameters	Total	Stratum h	1	2	3	4	5	6
Population size	N = 854	N_h	106	106	94	171	204	173
Sample size	n = 200	n_h	13	24	55	95	10	3
Population mean	$\overline{Y} = 2930.1$	\overline{Y}_h	1536	2212	9384	5588	966	404
Population proportion	P = 0.334	P_h	0.24	0.29	0.46	0.48	0.36	0.11
Standard deviation	$S_y = 17105$	S_{yh}	6425	11551	29907	28643	2389	945
Standard deviation	$S_p = 0.466$	S_{ph}	0.43	0.45	0.50	0.50	0.48	0.32
Kurtosis coefficient	$\beta_2(\phi) = -1.39$	$\beta_{2h}(\phi)$	0.56	1.16	-2.03	2.02	1.68	3.93
Variation coefficient	$C_p = 1.46$	C_{p_h}	1.76	1.56	1.07	1.03	1.33	2.77
Covariance coefficient	$S_{yp} = 904.7$	S_{yp_h}	996	1404	48674	2743	449	204
Weight		W_h	0.12	0.12	0.11	0.20	0.24	0.32

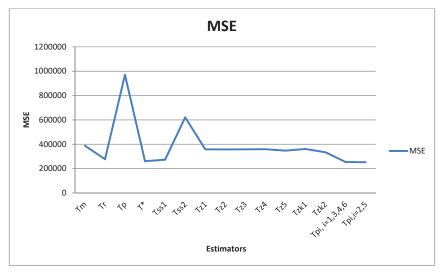
Table 2MSE and PRE of different estimator with respect to T_m

387786.7	100.0000
276560.1	140.2179
970347.4	39.9637
260132.8	149.0726
273256.6	141.9130
620150.3	62.5310
357499.9	108.4718
357160.8	108.5748
358365.0	108.2100
358812.8	108.0749
347115.3	111.717
360353.6	107.6128
333791.4	116.5447
253704.6	152.8497
252556.1	153.5447
	276560.1 970347.4 260132.8 273256.6 620150.3 357499.9 357160.8 358365.0 358812.8 347115.3 360353.6 333791.4 253704.6

5.1 Results and Discussion

On comparing the outcomes of Table 2, it has been observed that:

1. the proposed classes of estimators T_{p_i} , $i = 1, 2, \ldots, 6$ are highly rewarding in terms of minimum MSE than the existing estimators such as usual mean estimator T_m , classical ratio estimator T_r , classical product estimator T_p , classical regression estimator T_{lr} , Sharma and Singh (2013) estimator T_{ss_i} , i = 1, 2, 3, Zaman and Kadilar (2020) estimators T_{zk_i} , i = 1, 2, Zaman (2020) type estimator T_z and Zaman (2021)



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Figure 1 MSE of existing and proposed estimators.

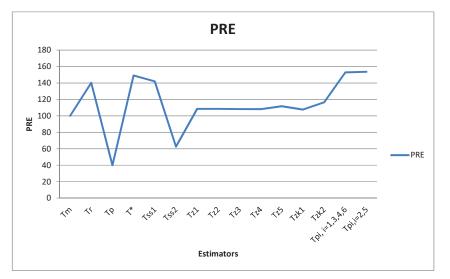


Figure 2 PRE of existing and proposed estimators.

estimators T_{z_i} , i = 1, 2, ..., 6. This can be easily observed from line diagram displayed in Figure 1.

2. the proposed classes of estimators T_{p_i} , i = 1, 2, ..., 6 are found to be efficient in terms of maximum PRE providing better improvement

over the existing estimators such as usual mean estimator T_m , classical ratio estimator T_r , classical product estimator T_p , classical regression estimator T_{lr} , Sharma and Singh (2013) estimator T_{ss_i} , i = 1, 2, Zaman and Kadilar (2020) type estimators T_{zk_i} , i = 1, 2, Zaman (2020) type estimators T_{z_i} , $i = 1, 2, \ldots, 6$. This can be easily observed from line diagram displayed in Figure 2.

3. Moreover, the proposed class of estimator T_{p_i} , i = 2,5 performed better than the other proposed classes of estimators in terms of minimum MSE and maximum PRE which can be also observed from line diagrams displayed in Figures 1 and 2 for MSE and PRE respectively.

6 Conclusion

This paper proposes some improved classes of estimators under stratified simple random sampling to estimate population mean with their properties. The usual mean estimator, classical ratio, product and regression estimators are special cases of the suggested classes of estimators for suitably chosen values of characterizing scalars. The proposed classes of estimators are turned out to be remunerating in terms of MSE and PRE when applied in real life scenario. These estimators are also showing their supremacy in terms of lesser MSE and greater PRE over conventional estimators such as mean estimator T_m , ratio estimator T_r , product estimator T_p , regression estimator T_{lr} , Sharma and Singh (2013) estimator T_{ss_i} , i = 1, 2, 3, Zaman and Kadilar (2020) estimators T_{zk_i} , i = 1, 2, Zaman (2020) type estimator T_z and Zaman (2021) estimators T_{z_i} , i = 1, 2, ..., 6 when empirical study has been performed over real data. The performances of different estimators can also be observed from the Figures 1 and 2 displayed for MSE and PRE respectively. The empirical results support that the proposed classes of estimators are appreciatively favorable in abating the MSE to a greater extend as compare to the conventional estimators. Hence, looking on the assured behavior of the proposed classes of estimators, survey practitioners may be encouraged to utilize the proposed classes of estimators for their practical applications.

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